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Vitamin D: A magic bullet or a myth?

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1 Vitamin D: A magic bullet or a myth?

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24 **Abstract**

25 The interest in Vitamin D (Vit D) is increased after the finding of Vit D receptors in many different
26 cells. This led to the hypothesis that Vit D may have more impact on human health than its role in bone
27 health. Epidemiological studies found associations between low plasma levels of Vit D and the prevalence
28 of many diseases. However, Large RCTs did not find convincing evidence for a positive effect of Vit D sup-
29 plementation on cancer, cardiovascular disease, auto-immune disease and inflammatory diseases. In this
30 review, the results are described of a literature search regarding the relationship between Vit D status
31 and different diseases.

32 Pubmed was used to find systematic reviews of observational studies describing the association
33 between Vit D status, diseases (cancer, coronary heart diseases, auto-immune diseases, sepsis) and mor-
34 tality. Subsequently, a search was performed for RCTs and the results of large RCTs are described. Stud-
35 ies with a positive intervention effect on primary or secondary outcome variables are summarized. No
36 exclusion criteria were used.

37 The metabolism of Vit D is reviewed, its endogenous production and the intake from food, its ac-
38 tivation and transport in the body. The article addresses the effects of diseases on the metabolism of Vit
39 D with special focus on the role of Vit D Binding Protein and its effects on assessing Vit D status. Studies
40 addressing the association between vitamin D status and cancer, cardiovascular diseases, auto-immune
41 diseases, inflammation and severe illness are reviewed. A search for RCTs with positive effects of Vit D
42 supplementation on different diseases yielded only a few studies. The vast majority of RCTs showed no
43 significant positive effects. The presumed high prevalence of Vit D deficiency is questioned based on
44 these results and on altered concentrations of Vit D binding protein, leading to low Vit D levels in plasma
45 but not to low active Vit D levels during disease related inflammation In these conditions, plasma levels of
46 Vit D are therefore not a valid reflection of Vit D status. Reversed causality is described as a possible fac-
47 tor interfering with the correct assessment of the Vit D status. It is concluded that further widespread
48 fortification of foods and stimulation of supplement use should be reconsidered.

49

50

51 **Keywords:**

52 Vitamin D, deficiency, metabolism, vitamin D binding protein, supplementation.

53

54 1. Introduction

55 The relationship between Vitamin D (Vit D) and health is studied extensively. A search in Pubmed
56 leads to more than 80.000 hits for "Vitamin D" with a steady annual increase, leading to 4343 articles
57 published in 2018. Despite this large amount of research, the confusion about the effects of Vit D in
58 health and disease has not decreased but seems to be increasing.

59 Vit D is a fat-soluble vitamin that plays an essential role in calcium and phosphorus homeostasis and
60 bone health. Unlike other vitamins, Vit D levels are not solely dependent on dietary intake. Endogenous
61 production in the skin contributes for a large part to overall Vit D status. Modern lifestyle includes indoor
62 living combined with sun avoidance and use of sunscreen to prevent skin burns and skin cancer and leads
63 to decreased endogenous production of the vitamin. Preventing deficiencies has become more depend-
64 ent on dietary intake. Only few foods contain high levels of Vit D. Consequently, fortification of nutrients
65 with Vit D is common in many parts of the world. Furthermore, use of oral supplements is advised, espe-
66 cially for elderly and individuals with dark skin.

67 Results of epidemiological studies and in vitro studies are suggested to indicate that the vitamin
68 might have much broader effects on health, including cancer, cardiovascular diseases, autoimmune dis-
69 eases and infections. The renewed interest in Vit D has led to a large increase in the number of studies
70 published over the last two decades. However, in this rapidly growing scientific area several uncertainties
71 remain regarding effects, status and function of Vit D.

72 Although no consensus on the definition of Vit D deficiency is reached yet, generally used cut-off val-
73 ues have recently been increased. As a result, measured prevalence of Vit D deficiencies ranges from 20
74 to 92% of the world's population, with highest values in the Middle East and Northern Europe (1). From
75 an evolutionary point of view, it seems unlikely that half of the population is currently unable to reach
76 healthy levels of Vit D. On the basis of observational epidemiological studies, these presumably deficient
77 levels are related to an increased risk for development of a number of diseases. However, recent RCT's
78 fail to show the expected positive effects of Vit D in many diseases.

79 This paper describes factors affecting Vit D metabolism and the difficulty of reliably assessing Vit D
80 deficiency. Factors that may lead to misclassification of Vit D status and the consequences of misclassifi-
81 cation for the interpretation of randomized studies or meta-analyses are discussed. Reversed causality
82 will be highlighted as a potential factor interfering with correct assessment of the Vit D status. Finally, the
83 question if further widespread supplementation is needed or if supplementation should be limited to
84 people at risk for deficiencies will be discussed.

85

86 **2. Metabolism of vitamin D**

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87 Vit D is a fat-soluble steroid derivative present in only a few nutritional products. Food of animal
88 origin contains not only more Vit D but also a more active form of the vitamin (D_3 or cholecalciferol). In
89 some fungi and molds, Vit D is present in the form of D_2 (ergocalciferol). Although dietary intake of Vit D
90 is important, exposure to sunlight is the main factor affecting Vit D status, contributing about 80% to the
91 total Vit D level (2). Endogenous production of Vit D in the skin during exposure to Ultraviolet- B radia-
92 tion in sunlight (UV-B) starts with the synthesis of cholecalciferol from 7-dehydrocholesterol (figure 1).
93 After binding to the vitamin D binding protein (VDBP), cholecalciferol and ergocalciferol are transported
94 to the liver where they are hydroxylated by the enzyme CYP27B1 (a member of the cytochrome P450
95 enzymes) to 25(OH)D (calcidiol) which can be stored. 85 to 90% of the 25(OH)D in the circulation is tightly
96 bound to the VDBP, 10-15% loosely to albumin and less than 1% is in the free form (3). Only the albumin
97 bound and free 25(OH)D are accessible for hydroxylation yielding the active form. Further hydroxylation
98 to the active form 1,25(OH) $_2$ D (calcitriol) is catalyzed by the enzyme CYP27B1 and happens mainly in the
99 kidneys under tight control of Calcium, Phosphate, Parathyroid Hormone (PTH) and fibroblast growth
100 factor 23 (FGI23) (4). PTH stimulates the hydroxylation while FGI23 inhibits it. Other cells, like intestinal,
101 pancreatic, prostatic and immune cells, can also hydroxylate 25(OH)D to the active form 1,25(OH) $_2$ D with
102 a wide range of functions. The active form can enter cells and bind to the Vit D receptor (VDR), a nuclear
103 hormone receptor.

104 There is consensus that the serum or plasma total 25(OH)D concentration should be used to assess
105 Vit D status. It reflects both contribution from diet and synthesis in the skin (5,6). These Vit D assays do
106 not measure the active 1,25(OH) $_2$ D, but instead measure the total pool of its precursor, total 25(OH)D.
107 Because only 10-16% of total serum 25(OH)D is bio-available (the free and albumin bound fraction), the
108 total 25(OH)D level can vary without changes in the concentration of 1,25(OH) $_2$ D which is regulated be-
109 tween strict norms. The half-life of 25(OH)D is 2 to 3 weeks while for the active form this is only one day.
110 Consequently, this indirect measure of the Vit D status gives no information about the concentration of
111 the actually active, hormone-like acting form of Vit D. This complicates the interpretation of study re-
112 sults, especially during diseases when the normal relationship between the non-active and active, the
113 bound and unbound fractions are changed (see later).

114 Vit D is degraded by 24-hydroxylases (CYP24A1) mainly in the liver, followed by oxidation leading to
115 water soluble metabolites that are excreted in bile and urine (4). CYP24A1 is also present in all cells ex-
116 pressing the Vit D receptor (VDR) (3). Journal Pre-proof

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118 *Vitamin D binding protein (VDBP)*

119 VDBP, discovered in 1959, is a member of the albuminoid superfamily produced in the liver
120 (7,8,9,10). Besides the three major phenotypes (DBP1F, DBP1S, DBP2) many variants of this polymorphic
121 protein have been described with differences in affinity for Vit D. Besides binding and transport of Vit D
122 and its metabolites, it has many other functions like binding fatty acids, binding of endotoxins (11) and
123 others. VDBP plays a role in the immune system and is like albumin a constitutive protein. Levels decrease
124 at times of inflammation, including infection, chronic inflammatory disease, after severe trauma or sur-
125 gery (12,13,14). It also acts as an actin scavenger. During tissue injury and inflammation damaged or lysed
126 cells release actin which needs to be cleared to prevent polymerization that can damage endothelial cells
127 (15). How these functions of VDBP affect its concentration and thereby the Vit D assay during disease is
128 unknown.

129 A decrease in the VDBP level one day after elective surgery was reported. This was related to de-
130 creased levels of total and free 25(OH)D. Levels returned to baseline after 6 weeks without Vit D supple-
131 mentation (16). The results of this study show that assessing Vit D status immediately after surgery lead
132 to misclassification and superfluous interventions. Fluid shifts after surgery can cause alterations in the
133 distribution volume of the VDBP and thus of Vit D. These changes might also be present during inflamma-
134 tory states.

135 Genetic variants of VDBP differ markedly between racial groups. Black Americans have lower total se-
136 rum Vit D than white Americans but, as their VDBP levels are also lower, bio-available Vit D is similar (17).
137 Many other factors affect VDBP levels. VDBP is lower in elderly women and related to estrogen concen-
138 trations. Levels can increase 50% in a high estrogen state and decrease in certain disease states such as
139 hepatic disease (7,8,9,10). Oral contraceptive use and hormone replacement therapy increase hepatic
140 VDBP synthesis and raise serum concentrations (18,19). During pregnancy, levels are also increased due
141 to an estrogen mediated increase in its synthesis (10). VDBP is 16% lower in obese subjects compared
142 with normal weight individuals. Free and bio-available Vit D were also decreased (20). The high preva-
143 lence of Vit D deficiency in obese subjects is not related to low bone mineral density and might be caused

144 by volumetric dilution (fat, serum, muscle) (21). Supplementation of Vit D in obesity has not been shown
145 to be consistently beneficial (22).

146 The complex relationship between VDBP and total and free 25(OH)D is described by Jassil NK et al
147 (23). Conditions with a decreased VDBP level include nephritic syndrome, end stage liver disease, critical
148 illness, smoking, menopause and cystic fibrosis. In these conditions free 25(OH)D was found to be low or
149 normal. Increased levels of VDBP were reported in acromegaly, pregnancy, use of oral contraceptive pills,
150 psychosis and multiple sclerosis. Free 25(OH)D levels were however low or normal (23). Aspirin therapy in
151 cerebral thrombosis prevention was shown to increase the VDBP concentration by 100% (24).

152 Knowledge about the effects of different kinds of medication on VDBP, especially in elderly, is not
153 available. Because assays rely on total 25(OH)D for assessing Vit D status while VDBP levels can change in
154 many situations, the proportion of bio-available Vit D is not known. Consequently, widespread misclassifi-
155 cation of the Vit D status seems plausible. This is especially the case during the inflammatory response to
156 stressful events (trauma, infection, disease) where constitutive proteins like plasma-albumin levels de-
157 crease without exception. The concentration of micronutrients bound to carrier proteins (e.g. Albumin,
158 retinol binding protein and VDBP) will decrease in this situation due to a redistribution of these proteins
159 to the extravascular space (25,26,27). This also happens during life events like pregnancy, lactation, pu-
160 berty which are also driven by inflammatory stimuli (14). The increase in VDBP during pregnancy, despite
161 belonging to the albuminoid family, is enigmatic and suggests that in pregnancy VDBP acts like an acute
162 phase protein. Alternatively its increased level may be caused by specific oestrogen/progesterone stimu-
163 lation. Including measures of the presence of inflammatory activity in chronic conditions or of the acute
164 phase response in assessing the Vit D status appears to be necessary to improve our understanding of the
165 actual active Vit D status.

166

167 **3. Endogenous production and intake**

168 The major contribution to the Vit D status is endogenous production in the skin during exposure to UV-B.
169 In the Netherlands daily sun expose for 15 to 30 minutes with hands and head uncovered between 11 am
170 and 3 pm is advised. People with a dark skin require six-time longer exposure than fair-skin individuals to
171 achieve the same Vit D serum levels (28). In people living near the equator where sun-exposure is high,
172 the level of Vit D is often lower than in places at higher latitude. Not only skin pigmentation, but also low
173 skin exposure for cultural or religious reasons plays a role. Severe Vit D deficiency is most common in the
174 Middle East and South Asia. In these areas the prevalence of rickets is high (1).

175 Dietary intake contributes only 10 % to the overall Vit D level. According to some authors an in-
176 door lifestyle and sun avoidance greatly contribute to the development of global Vit D deficiency (29).
177 Natural dietary sources of Vit D are salmon, fatty fish, organ (e.g. liver), meat and eggs. In most countries
178 several products such as margarine, milk and cereals, are fortified with Vit D in order to prevent deficien-
179 cies. The recommended intake of Vit D is 10 µg/d (400 IU/d). For elderly 20 µg/d (800 IU/d) is advised. In
180 cases of a low sun exposure, dietary intake becomes crucial. In elderly a higher intake is advised because
181 the efficiency of producing cholecalciferol when exposed to UV-B is decreased. Also, their time spent
182 outdoors is limited especially in institutionalized elderly persons. Data reporting dietary intake of Vit D are
183 scarce. A median intake below 5 µg/d (200 IU) in the greater part of the world has been reported (4).
184 Several studies have shown that vegetarians and vegans are particularly at risk to develop Vit D insuffi-
185 ciency and deficiency (30,31). In patients with malabsorption (e.g. cystic fibrosis, small bowel syndrome,
186 pancreatic insufficiency) a higher intake of Vit D is needed to prevent a deficiency (32).

187 In the 1940s fortification of food with Vit D has been widely introduced to prevent rickets in children.
188 Different foods are fortified depending on the local policies. In the US, milk, dairy products, beer and hot
189 dogs are fortified. In Europe fortification of margarines, cereals and bread is common.

190

191 **4. Norms for Vit D**

192 In Europe the optimal serum level of total 25(OH)D is set at 75 nmol/L. Values below 30 nmol/L are con-
193 sidered as Vit D deficient (33). However, for women over 50 years and men older than 70 years the lower
194 limit is set at 50 nmol/L. Levels above 250 nmol/L are toxic and lead to hypercalcemia with symptoms of
195 vomiting, dehydration, pain and loss of appetite (4). Different cut-offs are used in studies. Based on a
196 lower limit of 50 nmol/L 25(OH)D, global prevalence of Vit D deficiency varies from 20 to 90% (40% in
197 Europe, 24% in the US, 37% in Canada, 90% middle East) (34,4). However, a review on worldwide Vit D
198 status showed a high degree of variability across studies, countries and regions (35).

199 Currently most methods used for analyzing total 25(OH)D are radio immunoassays, manual im-
200 muno assays, automated immune-assays and LC-MS/MS (liquid chromatography-tandem mass spec-
201 trometry). Large variations in the assays used complicate standardization of defining Vit D deficiency (33).
202 Bias of the different methods, assessed by measuring standard reference material, is mostly > 15% and in
203 some cases > 30% (5). The low accuracy of most methods complicates accurate measurements of changes
204 in time. Consequently, the number of participants in intervention studies must be large in order to meas-
205 ure differences between groups. Also, in meta-analyses the different methods used in individual studies

206 lead to results that are not comparable. There is a great need for standardization and optimization of
207 methods. The LC-MS is considered the most accurate method and is currently regarded as the gold stand-
208 ar for measurement (23).

209

210

211 **5. Deficiency symptoms**

212 The classical actions of Vit D are promoting calcium homeostasis and bone health. Absorption of
213 calcium in the small intestine, osteoclast differentiation and calcium re-absorption in bone are enhanced.
214 The relationship between vit D status and bone health is usually studied by measuring bone mineral den-
215 sity.

216 The best known symptoms of Vit D deficiency are rickets and osteomalacia (36). Rickets develops
217 in children when serum calcium and phosphorus are low. A low mineralization of growth plates in bones
218 leads to softening and deformations of bones, development delay or widening of the joints. Also stunted
219 growth, bone pain and muscle spasms are described although stunting may also be caused by chronic or
220 recurrent infectious diseases. Osteomalacia develops in existing bones with closed growth plates due to
221 defective mineralization (36). The global prevalence of reported Vit D deficiency is very high. This is not in
222 agreement with the prevalence of skeletal defects like rickets (in children) and osteomalacia (in adults).
223 Also, from an evolutionary point of view it is hard to accept that most people at a time that food is abun-
224 dantly available in a greater part of the world, would suffer from vitamin D deficiency. Lack of exposure to
225 UV-B due to modern life style and use of sunscreen are often considered to be the cause of low circulat-
226 ing Vit D levels. Several studies reported a high prevalence of Vit D deficiency in women wearing total
227 skin covering clothing, ranging from 37 to 90 % (37,38,39,40,41,42). Surprisingly, no abnormalities in bone
228 status were found (40). This again raises the question about the accuracy of the currently used norms for
229 Vit D and about the validity of plasma 25(OH)D levels as adequate status parameter.

230 Vit D deficient rickets is associated with serum total 25(OH)D levels < 12,5 nmol/L (43). In Europe,
231 the prevalence of rickets in Caucasian children is very low but in children with a dark skin the prevalence
232 is high. In Denmark the annual incidence is 2 per 100.000 in the ethnic Danish population and 100 per
233 100.000 in children from immigrant families (44). In Africa, Middle East and Asia prevalence rates of rick-
234 ets of 10 to 70% have been reported (44). Causes are lack of sun exposure (clothing of the baby, living
235 indoors) leading to low Vit D levels and chronic calcium deficiency. An additional cause may be that some
236 of these children suffer from severe malnutrition and have very low cholesterol levels which may lead to

237 a decrease in Vit D synthesis (45). In Bangladesh a rickets prevalence of 1,2% was measured. Of these
238 children 70% had normal total 25(OH)D levels. The main cause was insufficient calcium intake (46). Also in
239 Africa the main cause of rickets was calcium deficiency while low Vit D levels were uncommon (43).

240 Interest in Vit D increased after the finding that many cells express the Vitamin D receptor (VDR)
241 (bone marrow, brain, colon, breast, pancreas, thyroid, prostate, uterus, immune cells, malignant cells).
242 After binding to the VDR, 1,25(OH)₂D exerts an effect on gene transcription. In vitro studies showed that
243 the synthesis of many proteins in different tissues is regulated by the active form of Vit D (47). This find-
244 ing has led to many hypotheses about the role of Vit D in several diseases, especially cancer, cardiovascu-
245 lar disease (CVD), diabetes and respiratory diseases (48). In addition, other tissues than the kidneys are
246 able to transform 25OHD to 1,25(OH)₂D making Vit D act in an autocrine manner (49). If the correlations
247 between Vit D status and the above-mentioned diseases is based on causality, than the prevalence of
248 these diseases would be much higher in Asia, the Middle East and Africa where the majority of people is
249 Vit D deficient according to the currently used norms. The fact that this is not the case means that either
250 the norms for Vit D deficiency are set too high, or that low Vit D Levels have less impact on health than
251 claimed. Also, disease related changes in binding proteins may cause the correlations between Vit D sta-
252 tus and diseases.

253

254 **6. Public health and vitamin D**

255 After the discovery of the VDR in many tissues, the possible role of Vit D in different diseases has
256 been studied extensively. The role of Vit D in cellular proliferation, differentiation, apoptosis and the in-
257 nate and adaptive immune system was recognized.

258 Cell culture studies have revealed that 1,25(OH)₂D appears to prevent cancer development or re-
259 tard its propagation and development of metastases. The many mechanisms involved are cell specific
260 (blocking cell cycle, interfering signaling processes, apoptosis, stimulating DNA damage repair) (3,50).
261 Also, anti-inflammatory and immune regulating properties were found in vitro (51). In view of studies
262 reporting the expression of VDR in several brain structures, Vit D has also been associated with neurologi-
263 cal disorders such as multiple sclerosis, stroke, Alzheimer and Parkinson disease (52).

264 Observational studies have shown an association between low total serum 25(OH)D levels and a
265 variety of non-skeletal disorders such as infectious diseases, diabetes, cardiovascular disease and cancer.
266 In a recent systemic review of 84 observational studies the association between all-cause mortality and
267 Vit D status, it was concluded that most epidemiological observational studies show an inverse relation-

268 ship between 25(OH)D and all-cause mortality (53). The lowest mortality was found at Vit D levels be-
269 tween 50-75 nmol/L. However, these results may be caused by reverse causality e.g. that a poor health is
270 related to a low sun exposure leading to low Vit D levels (54,55). Also, a decrease in VDBP during inflam-
271 matory states, leading to incorrect assessment of the Vit D status, must have affected the results.

272 To assess whether correlations found in observational studies are based on causality, randomized
273 controlled trials (RCTs) should confirm these findings. However, in a large recent RCT (N=25.871, VITAL
274 study) with supplementation of 2000 IU per day and a follow-up of 5,3 years, no effect of Vit D supple-
275 mentation on overall mortality was found (56). It can be argued that the power of intervention studies is
276 low due to the fact that participants are not selected based on their Vit D status at the start of the study
277 (57). Targeted interventions in individuals with low Vit D status can increase the power of RCTs. Although
278 the VITAL study did not select participants based on their baseline Vit D Levels, 12,7% of the participants
279 had Vit D levels below 50 nmol/L and 32,2% between 50 and 75 nmol/L. Although the power of this study
280 was high enough, it failed to show an effect on mortality (56).

281 **Cardio Vascular diseases (CVD)**

282 Epidemiological observational studies revealed an association between low serum 25OHD and an
283 increased risk for cancer and CVD. (58,59,60). However, Zhang et al recently published a case cohort
284 study in a large population (N=80000) of postmenopausal women free of CVD at baseline with a follow
285 up of 11 years and found no correlation between levels of Vit D (total, free and bio-available 25(OH)D and
286 CVD risk (61).

287 Based on results of observational studies, RCTs were started. Some found beneficial effects of Vit
288 D supplementation, but the effects were much smaller than expected (62,63). In a RCT published in 2017
289 (N=5110, age >50 years) with high dose Vit D supplementation (bolus of 200 000 IU Vit D followed by
290 monthly 100 000 IU) for 3,3 years, no effect was found on cardiovascular events and on cancer outcome
291 (64). A recent large RCT (N=25.5871) on the effect of Vit D supplementation of 2000 IU/d, with a follow
292 up of 5 years, again did not show effects on cardiovascular events (56).

293

294 **Cancer**

295 In observational studies, a relationship was found between low serum levels of total 25(OH)D and
296 increased risk for cancer (59,60). In a large observational study, total 25(OH)D did correlate with colorec-
297 tal cancer risk. However, this relationship was not found for VDBP, free 25(OH)D or bio-available 25(OH)D
298 (65). Meta-analyses of observational studies revealed a correlation between low levels of Vit D and

299 breast, prostate, colon, lung and other cancers (66). Furthermore, high levels of Vit D were related to
300 reduced mortality in cancer patients and tumor prognostic indicators (67). A meta-analysis including colo-
301 rectal cancer patients, revealed a decreased risk with increasing total 25(OH)D levels up to 100 nmol/L,
302 with optimal levels between 75-100 nmol/L (68). A meta-analysis of observational studies showed a sig-
303 nificant reverse correlation between total 25(OH)D and cancer mortality (69). Higher total 25(OH)D con-
304 centration was associated with better cancer outcome and overall survival (hazard ratio (HR)=0.74, 95%
305 CI: 0.66-0.82). However, these studies might also suffer from reverse causality. The type and phase of
306 cancer will affect VDBP levels. A decrease in VDBP results in a decrease of measured total 25(OH)D, but
307 gives no information about the concentration of active Vit D. Furthermore, the overall condition of pa-
308 tients might affect their time spend outside, limiting their UVB-B exposure.

309 In RCTs some beneficial effects of Vit D supplementation were reported, but the effects were
310 much smaller than expected (70,71). In postmenopausal women supplementation of Vit D and Ca for 4
311 years did not result in altered cancer risks of all types. Post hoc analysis however revealed an inverse rela-
312 tionship between serum levels of Vit D and cancer incidence (72). The relationship between Vit D and
313 breast cancer was studied by pooling data of two RCTs and in a prospective cohort study (73). A dose
314 response decrease in breast cancer risk was found. Concentrations > 150 nmol/L were reported to be
315 most protective for breast cancer risk. However, because only few women have such high Vit D concen-
316 trations the confidence limits for the calculated hazard ratio are very wide, limiting the extrapolation of
317 this finding. A review of the effects of Vit D supplementation on cancer mortality reported a reduced risk
318 (RR=0,88) for D3 supplementation (74). However, this analysis was based on data from only four studies
319 with the largest contribution (60,1%) of data from a study in postmenopausal women, limiting extrapola-
320 tion of these results (75). In a recent large RCT (N=255871), Vit D supplementation of 2000 IU/d was
321 combined with n-3 fatty acid 1,0 g/d with a follow up of 5 years (56). The study did not show any effect on
322 the incidence of invasive cancers. Because of the lack of effects in intervention studies, a causal relation-
323 ship between Vit D status and cancer or CVD seems unlikely.

324

325 7. Vitamin D and immunology

326 Almost all immune cells express the VDR, indicating that Vit D can exert effects on their metabo-
327 lism. Furthermore, various immune cells (monocytes, dendritic cells, macrophages, B cells and T cells)
328 have the capacity to convert 25(OH)D into the active 1,25(OH)₂D. This allows local regulation of the active
329 form at the site of inflammation (76,77).

330 The active 1,25(OH)₂D binds to the VDR and this complex is translocated to the cell nucleus
331 where it can influence the expression of hundreds of genes, including genes involved in cytokine produc-
332 tion (78). Also, the complex induces the expression of antimicrobial proteins (β -defensin or cathelicidin),
333 enhancing innate immunity (79,80,81,82). Human cathelicidin (LL-37) and beta defensins are antimicrobi-
334 al peptides (AMPs) of the innate immune system. AMPs protect against bacterial invasion, LL-37 pro-
335 motes wound healing and reduces inflammation (83). In vitro studies showed a role of Vit D in enhancing
336 mechanisms of pathogen elimination (84,85). Several studies suggest that Vit D also plays a role in the
337 defense against viral infections (85,86,87).

338 In observational studies, the relationship between Vit D deficiency and influenza infections is de-
339 scribed. The risk for RTI (respiratory tract infection) was found to be associated with Vit D levels in both a
340 large cross-sectional study in British adults (88) and in the large American NHANES study (89). These find-
341 ings do not prove causality and may again suffer from reversed causality. Intervention studies with Vit D
342 supplementation did show contradictory results regarding the prevention of RTIs. A meta-analysis re-
343 vealed that the number of people needed to treat with Vit D supplementation to reduce the risk of expe-
344 riencing at least one RTI was 34. The protective effect was greatest in subjects with low Vit D levels (90).
345 One RCT in children showed a decrease in the incidence of influenza A, but not in influenza B, in the group
346 that received Vit D supplements for 15 days (table 1) (91). Unfortunately, plasma Vit D levels were not
347 measured in this study.

348 A positive correlation between lung function and Vit D levels in asthma patients has been de-
349 scribed in observational studies (92). However, results of intervention studies are controversial. Several
350 meta-analyses have been published, some show no effects of Vit D supplementation (93) while others
351 show modest (94,95) or low quality evidence (96,97) of a positive effect. The most recent meta-analysis
352 showed only a positive effects in a subgroups. A reduction in the rate of asthma exacerbation was only
353 found in patients with Vit D levels below 75 nmol/L (98).

354

355 *Autoimmune diseases*

356 Vit D deficiency has been linked to autoimmune diseases (Multiple Sclerosis (MS), Rheumatoid
357 Arthritis (RA), Diabetes Mellitus type I (DMI), Inflammatory Bowel Disease) (99,100,101). Because VDR is
358 expressed in immune cells (B cells, T cells, macrophages) Vit D may modulate the innate and adaptive
359 immune response (102). Vit D was shown to decrease in vitro the production of inflammatory cytokines

360 (IL-17, IL-21) and to increase the production of anti-inflammatory cytokines such as IL-10. Also, it inhibits
361 monocyte production of inflammatory cytokines such as IL-1, IL-6, IL-8, IL-12 and TNF α (103).

362 Epidemiological observational studies showed a correlation between many immune-related dis-
363 eases such as asthma, atherosclerosis, diabetes and autoimmune diseases and low Vit D levels (104,105).
364 Based on these findings the use of Vit D to decrease symptoms was studied. Clinical trials are reviewed by
365 Dankers W et al (106): In MS, results are contradictory, for RA no definite conclusion could be drawn, for
366 Crohn's disease (CD) a beneficial effect was found (table 1) but not for DM1. Also, a meta-analysis of the
367 effects of Vit D supplementation in DM2 showed no effect of Vit D on fasting blood glucose, HbA1c and
368 fasting insulin levels (107). In a recent RCT (N=2423, follow-up of 2,5 years) daily supplementation of 4000
369 IU D₃ in adults at high risk for DM2 did not result in a significantly lower risk of diabetes than placebo
370 (108).

371

372 **8. Inflammation and severe illness**

373 Low levels of Vit D are common in critical illness. Some observational studies showed an associa-
374 tion between low Vit D levels and unfavorable outcome during critical care (109,110), while others did not
375 find this association (111,112,113). Meta-analyses also yielded conflicting results. Upala et al (114) pub-
376 lished a systemic review and meta-analysis of observational studies (N=10) of the relationship between
377 Vit D deficiency (defined as 25(OH)D < 50 nmol/L) assessed before or during admission and sepsis. Pa-
378 tients with Vit D deficiency had higher odds for sepsis compared to patients without deficiency (OR 1,78).
379 However, no significant difference in 25(OH)D levels was found between patients who had and did not
380 have sepsis. This finding does not support a strong causal relationship between Vit D status and sepsis. A
381 meta-analysis of 24 studies of the association between Vit D status and sepsis showed that sepsis cases
382 had significant lower Vit D levels than non-septic patients. The Vit D status was not correlated with Albu-
383 min, PLT, IL-6, CRP levels or mortality. Sepsis death was not associated with Vit D deficiency. It was con-
384 cluded that Vit D had no impact on biochemical indexes and prognosis of sepsis (110). In a study with 461
385 patients with suspected sepsis, Vit D and sepsis biomarkers were monitored. No relation could be found
386 between 25(OH)D or 1,25(OH)₂D with sepsis and mortality. The authors stated that their data support
387 the hypothesis that reduced levels of Vit D are rather a marker of systemic inflammation than a marker of
388 severe infection (111). Vitamin D levels had no predictive value for assessment of the likelihood to pro-
389 gress to sepsis and mortality.

390 Recently a prospective observational study in septic shock patients (N=75) was published. Levels of
391 VDBP, 25OHD and 1,25(OH)₂D were measured on admission. Only VDBP was associated with in-hospital mor-

392 tality. No relationship of 25OHD and 1,25(OH)₂D or levels of cathelicidin and beta- defensin with mortality
393 were found (115). The authors state that VDBP could be used as a prognostic marker in these patients. Others
394 described a reduction in VDBP of 35% during first 24 hours of critical illness (116,117). In critical illness,
395 increased vascular permeability is universally occurring in these conditions, leading to leakage of proteins to
396 the extra vascular space and its expansion. Like Albumin, VDBP levels in blood are likely to decrease in this
397 situation. How this affects the availability of 25(OH)D for activation and the level of 1,25(OH)₂D is unclear.
398 Most studies measure total serum 25(OH)D of which usually 85% is strongly bound to VDBP and not immedi-
399 ately bio-available. The relationship between changes in VDBP and the level of active 1,25(OH)₂D is usually not
400 assessed.

401 In view of the role of Vit D in the innate and adaptive immune response, restoring Vit D levels has
402 been proposed in order to improve the patient's condition and reduce mortality. It is hypothesized that Vit D
403 has a role in the regulation of the inflammatory responses against infection (118,82). The mechanism
404 involves upregulation of the antimicrobial peptide cathelicidin (LL-37). Vit D supplementation in critically
405 ill patients was shown to prevent excessive production of pro-inflammatory cytokines (IL-6) and CRP. It
406 also increased the production of anti-inflammatory mediators (IL10) and increases the antibacterial ca-
407 pacity of macrophages, leukocytes migration, local inflammation and innate responses against bacteria
408 (119, 120). However, although increasing total Vit D level can be achieved, no convincing significant im-
409 provement in hospital stay or mortality has been described.

410 RCTs evaluating effect of Vit D supplementation in patients with sepsis did not demonstrate a dif-
411 ference in clinical outcome (121,122). Amrein et al studied the effects of Vit D supplementation in 475
412 critically ill patients and did not find beneficial effects on mortality and LOS (123). They noticed a low
413 response to D3 supplementation on increasing 25(OH)D levels and discussed that a compromised hepatic
414 cytochrome 450 system that is implicated in the 25-hydroxylation of Vit D, can be responsible. In their
415 study, large dosages of Vit D were administered by nasogastric tube (see table 1), while 1,25(OH)₂D was
416 also monitored. Only on day 7, levels were different between groups (22,3 pg/ml in intervention and 9,42
417 pg/ml in control) and after 6 months levels were increased to 36 pg/ml in both groups. These results indi-
418 cate that during severe illness metabolic changes are responsible for the decrease in Vit D status and that
419 supplementation has at best a marginal effect on the concentration of the active form of the vitamin.
420 Acute host response leads to a decrease of proteins of the extracellular actin scavenger system. Circulat-
421 ing levels of Gc-Globulin decrease shortly after severe trauma. In patients, who develop organ dysfunc-
422 tion and sepsis, this decrease is more pronounced (124). The same holds true for VDBP leading to mis-
423 classification of Vit D status during inflammatory states. The same mechanisms seem to be responsible
424 for the assessment of vitamin A status. Data obtained in the NHANES study showed that an acute phase

425 response (CRP>10mg/L) was associated with lower serum retinol levels, leading to misclassification of
426 Vitamin A status (125). Studies in infants showed that increases in CRP were correlated with decreases of
427 Vitamin A levels leading to overestimation of vitamin A deficiency (25). This decrease in serum retinol as a
428 consequence of the acute phase response should be differentiated from nutritional deficiency. In fact,
429 Retinol Binding Protein (RBP) is decreased in critically ill patients and this is a general response in critical
430 illness independent of the origin of the disease (126). The authors hypothesize that the acute decrease in
431 its concentration may be explained by reduced synthesis (liver) or increased removal by extravasation
432 due to capillary leakage or increased metabolic clearance. If the metabolism of RBP in critically illness
433 follows the same order of events as albumin, increased capillary escape and increased extracellular fluid
434 volume may be responsible for the decrease in serum RBP concentration. In addition accelerated break-
435 down (shortened half life) may be an additional factor decreasing the total RBP pool and contributing to
436 its decreased serum concentrations. The same mechanism may be responsible for the decrease in serum
437 VDBP. Duncan et al. studied the plasma concentrations of trace elements and vitamins during different
438 degrees of inflammation and concluded that a reliable clinical interpretation of Vit D and Vit A can be
439 made only if the CRP is below 10 mg/L (127).

440 **9. Positive interventions (table 1)**

441 In an attempt to assess the impact of Vit D supplementation on health, a search for published
442 RCTs with positive outcomes of different diseases was performed. Studies referred to in reviews and me-
443 ta- analyses were studied and Pubmed was used to search for RCTs on Vit D supplementation and a varie-
444 ty of diseases (cancer, diabetes, CVD, auto-immune diseases, infectious diseases). Studies describing posi-
445 tive effect in primary, or secondary outcome variables or in post hoc analyses were included. This search
446 is possibly not complete, but in face of the large amount of studies on Vit D, it can be argued that if a
447 positive effect is evident, the list would and should be large. However, most studies did not yield statisti-
448 cally significant effects. Studies with a positive outcome are summarized in table 1
449 (83,128,91,72,123,129). Results were predominantly negative. In most studies, primary outcomes were
450 not significantly different between the control group and the intervention group. Only two studies
451 showed a positive effect, one addressing the protective effect of Vit D on the incidence of influenza A in
452 children (91) and one study addressing the development of MS in patients with optic neuritis (129). Post
453 hoc analysis of the results of some studies showed positive effects. However, it can be argued that these
454 analyses mainly show that people with low levels of Vit D suffer from a mild to severe inflammatory state,
455 leading to decreased total serum 25(OH)D levels due to a decrease of their binding protein VDBP. Overall,

456 the therapeutic effects of Vit D are non-existent or very modest, because in the vast majority of RCT's and
457 meta-analyses no significant effects have been shown.

458

459 **10. Discussion**

460 The metabolism of Vit D is complex. Besides sun exposure and dietary intake, many other factors
461 affect plasma levels. The liver and kidney play an essential role in the activation of the vitamin. During
462 disease these functions often are impaired. The fact that the body can synthesize Vit D and the presence
463 of VDR in many different cells, with the in vitro evidence that the active form of Vit D is involved in many
464 metabolic pathways, the vitamin appears to act more like a hormone than as a vitamin.

465 Defining Vit D deficiency is currently based on the level of total 25(OH)D in blood of which 85% is
466 not available for hydroxylation. Because in many clinical disease conditions the levels of VDBP decrease,
467 assessing also the free and bound levels of 25(OH)D should give more insight into the actual availability of
468 the vitamin. With the currently used method, a decrease in VDBP will inevitably classify a patient as Vit D
469 deficient while the levels of available 25(OH)D and of the active 1,25(OH)2D may be within normal rang-
470 es. Misclassification of the Vit D status might explain the overall lack of positive intervention effects. Fur-
471 thermore, in order to compare results of studies, laboratories should use the same validated assays. Be-
472 sides the technical aspects of defining deficiency, seasonal changes are responsible for fluctuations in
473 blood levels of Vit D due to variations in endogenous production. In many studies this is not taken into
474 account.

475 According to current norms for Vit D plasma levels, there would be a global deficiency. However,
476 serious deficiency symptoms like rickets are only found in children from immigrants with a dark skin living
477 at high latitudes. Rickets in children living in places with an abundance of sunshine are often caused by
478 calcium deficiency (43,46). Complete avoidance of sun exposure may lead to true vit D deficiency. Global
479 deficiency implies that supplementation of Vit D, as advised by health authorities, would improve overall
480 health. However, the correlations between a low Vit D status and many diseases appear not to be based
481 on causality as intervention studies fail to show beneficial effects. Rather, reversed causality largely ex-
482 plains the relationship between low levels of Vit D and overall health.

483 Because the costs of global Vit D supplementation and the risks for adverse effects are low, it may
484 be argued that even small positive effects would warrant treatment and might be beneficial to overall
485 health. However, in large RCTs these positive effects are not found. Even when there would be a modest
486 benefit, further investigation may be preferred to identify the small group that benefits and why, and to

487 restrict vitamin D supplementation to the group that is truly at risk to develop Vit D deficiency. In addi-
488 tion, more basic information regarding the effects of disease and use of medication on the synthesis and
489 activation of Vit D is needed for a better understanding. Also, the interaction between Vit D and choles-
490 terol, its precursor, and steroid hormones has not been studied intensively.

491 As Vit D does not seem to be a magic bullet, further widespread fortification of foods and stimu-
492 lation of supplements use should be reconsidered. If the reported prevalence of vit D deficiency is indeed
493 significantly overestimated, the question arises whether the prophylactic administration of vit D (and A)
494 should be limited to populations that are truly at risk to develop clear physical symptoms of Vit D defi-
495 ciency. These populations are severely malnourished children with hypocholesterolemia in areas with
496 endemic malnutrition, people with dark skin living at high latitudes with little exposure to UV-B, religious
497 groups, covering their skin continuously, elderly living mainly indoors and individuals suffering from mal-
498 nutrition or renal and hepatic failure. Clear lack of calcium intake or increased calcium losses should be
499 taken into account as causes of osteomalacia or rickets.

500 In clinical practice the assessment of Vit D status is especially indicated in patients with symptoms
501 of malabsorption, renal failure, hepatic failure, patients with low bone mineral density , patients prone to
502 malnutrition and patients who lack sun exposure. Measurements should include longitudinal data during
503 different seasons and should include not only total 25(OH)D but also free and bound levels of the vita-
504 min.

505

506 **11. Conclusion**

507 The high incidence of low vit D levels in disease related inflammatory states is predominantly
508 based on reversed causality. Inflammation causes a decrease in total plasma Vit D due to a decrease of its
509 binding protein, but active Vit D does not change.

510 In practice the assessment of Vit D status is especially indicated in patients with symptoms of
511 malabsorption, renal failure, hepatic failure, patients with low bone mineral density, patients prone to
512 malnutrition and patients who lack sun exposure. Measurements should include longitudinal data during
513 different seasons and should include not only total 25(OH)D but also free and bound levels of the vitamin.
514 A deficient Calcium intake should be considered in individuals with osteomalacia or rickets. Widespread
515 fortification of food with Vit D and use of supplements should be reconsidered.

516

517

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915 **Legends**

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917 Figure 1. Chemical structures of the Vit D metabolites.

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919 Table 1. Randomized controlled trials with positive intervention effects of Vit D supplementation.

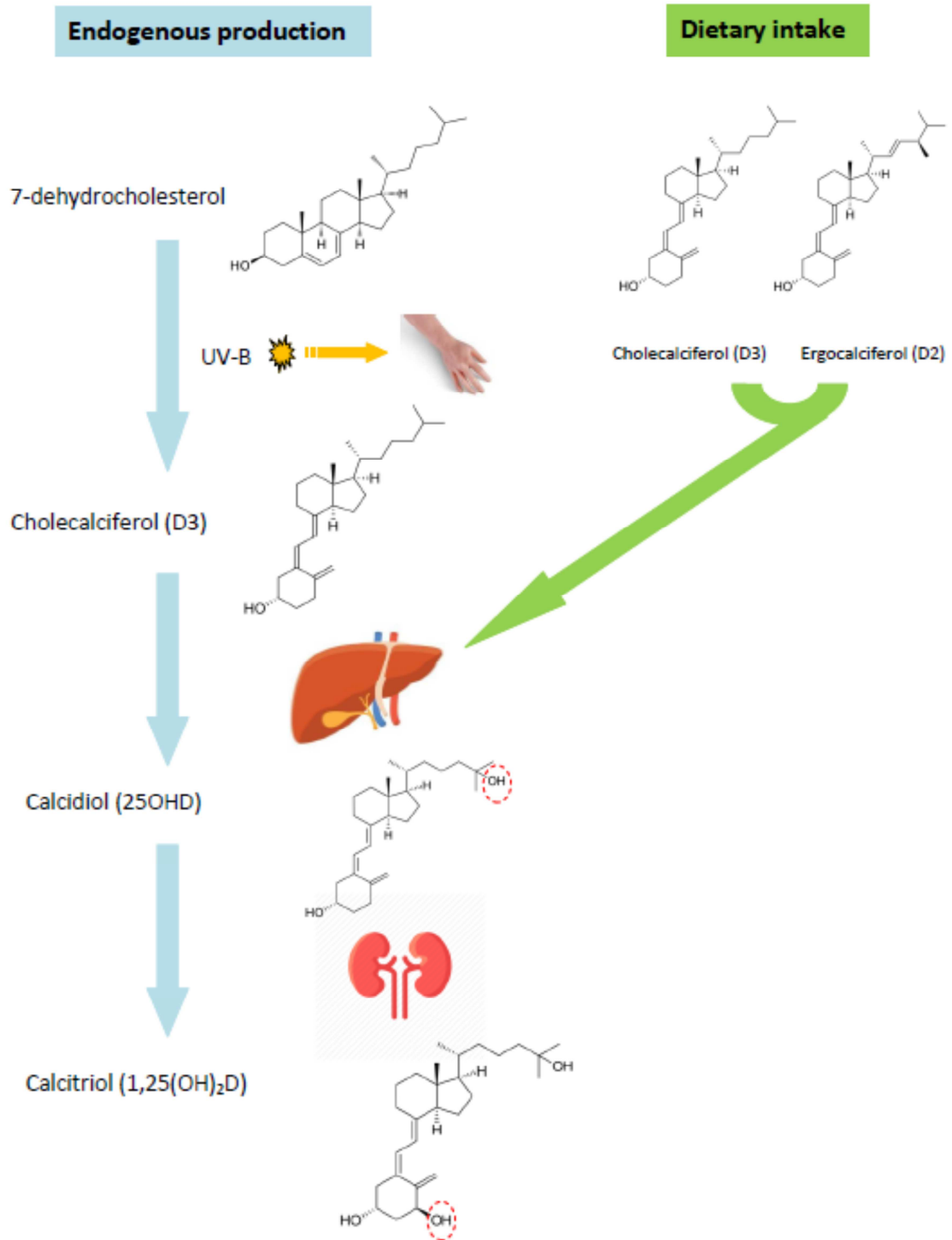
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Study	Population	Number	Mean age	Baseline 25(OH)D (nmol/L)	Intervention	Follow-up duration	25(OH)D (nmol/L) After intervention	Assay used	Primary outcome	Secondary Outcome	Post Hoc analysis
Rafty 2015 (83)	Crohn's disease in remission	27	37	VD group: 51,8 Control :69,2	2000 IU/d 3 months	3 months	VD group: 91,6* Control :40,4	Liquid chromatography-tandem mass spectroscopy	Intestinal permeability: No effects	Disease markers: No effects	LL-37 levels increased only in VD group (no diff between groups). In Pt with 25(OH)D>75 nmol/L higher LL-37, higher QoL, lower CRP compared to Pt with 25(OH)D<75 nmol/L
Soilu-Hänninen 2012 (128) (funded by Bayer)	MS patients	66	37	VD group: 54 Control :56	20,000 IU weekly 12 months	12 months	VD group: 110* Control :50	RIA kit	MRI T2 Burden of disease No effects % of patients with 25(OH)D>85 nmol/L: VD group 85%, Control:3 %	EDSS changes No effects Relapse time Time to first relapse: No effects T1 Gd enhancing lesions: decreased more in VD groep*	
Urashima 2010 (91)	Healthy children	430	10	Not assessed	1200 IU/d 15 days	4 months	Not assessed	-	Incidence of influenza A VD group 10,8%, control 18,6% RR=0,58*	Incidence of influenza B No effects	Incidence of asthma in children diagnosed with asthma (N=241) VD group: 2 Control : 12 RR=0,17*
Lappe J 2017 (72)	Healthy postmenopausal women	2303	65	81,9	2000 IU VD/d+ 1500 mg Ca/d	4 years	VD group: 109,6 Control :78,9	Liaison Analyzer (Diasorin)	Cancer incidence (all types). No effects	Hypertension, CVD, osteoarthritis, colonic adenomas, diabetes, resp	Exclusion of participants who withdrew, died, developed cancer before start of study (N=162).

										tract infections No effects	Difference in cancer incidence: HR 0,65*
Amrein 2014 (123)	Critically ill patients (ICU)	475	65	VD group:32,4 Control :32,7	Bolus of 540,000 IU and monthly 90,000 IU for 5 months	6 months	Day 7 VD group: 88,6 Control :36,2 Day 28 VD group: 81,6 Control :43,2	Chemiluminescence technology (IDS-iSYS)	Hospital LoS No effects	Length of ICU stay, hospital mortality, No effects	Subgroup analysis in pt with 25(OH)D <30 nmol/L(N=200): LOS no effect Lower mortality in VD group (28,6% vs 46%) 6 months mortality no effects
Derakhshadi H 2013 (129)	Patients with optic neuritis with serum 25(OH)D<75nmol/L	24	25	VD group:34,2 Control :41,0	50,000 IU/week until serum levels are 250 nmol/l	12 months	Not available	Radio-immunoassay kit	Optic neuritis conversion rate to MS: VD group:0 Control: 5 patients RR=0,316*	Brain MRI lesions no effects	Relation between serum 25(OH)D and number of MRI plaques: no effects.

* Statistically significant (P<0,05).



We confirm that the manuscript has been read and approved by all named authors and that there are no other persons who satisfied the criteria for authorship but are not listed. We further confirm that the order of authors listed in the manuscript has been approved by all of us.

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