Calcifediol-loaded liposomes for local treatment of pulmonary bacterial infections

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28 Abstract

The influence of vitamin D3 and its metabolites calcifediol (25(OH)D) and calcitriol on 29 immune regulation and inflammation is well described, and raises the question of potential 30 benefit against bacterial infections. In the current study, 25(OH)D was encapsulated in 31 liposomes to enable aerosolisation, and tested for the ability to prevent pulmonary infection 32 by Pseudomonas aeruginosa. Prepared 25(OH)D-loaded liposomes were nanosized and 33 monodisperse, with a negative surface charge and a 25(OH)D entrapment efficiency of 34 approximately 23%. Jet nebulisation of liposomes was seen to yield an aerosol suitable for 35 tracheo-bronchial deposition. Interestingly, 25(OH)D in either liposomes or ethanolic solution 36 had no effect on the release of the proinflammatory cytokine KC from Pseudomonas-infected 37 38 murine epithelial cells (LA-4); treatment of infected, human bronchial 16-HBE cells with 25(OH)D liposomes however resulted in a significant reduction in bacterial survival. Together 39 with the importance of selecting an application-appropriate in vitro model, the current study 40 illustrates the feasibility and practicality of employing liposomes as a means to achieve 41 25(OH)D lung deposition. 25(OH)D-loaded liposomes further demonstrated promising effects 42 regarding prevention of *Pseudomonas* infection in human bronchial epithelial cells. 43

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45 Keywords: Liposome aerosol, calcifediol, cystic fibrosis, poorly soluble drugs,

- 46 *Pseudomonas aeruginosa*, pulmonary drug delivery
- 47

48 Introduction

Cystic fibrosis (CF) is an autosomal recessive genetic disease, characterised by persistent and 49 50 recurring infection of the lungs [1, 2]. A chronic inflammation in response to the presence of pathogens also develops in CF patients, which is mainly characterised by the accumulation of 51 neutrophils [3-6]. Continuing infection and inflammation lead to a progressive destruction of 52 the lung tissue, with subsequent respiratory failure being the ultimate outcome [7]. The 53 causative agents of infection in CF are limited to a relatively narrow spectrum of pathogens, 54 with Staphylococcus aureus, Haemophilus influenzae and Pseudomonas aeruginosa being the 55 most prevalent organisms [1, 6, 8]. Despite progress in the development of antibiotic therapy, 56 pulmonary infections still dictate the fate of most CF patients. Effective antimicrobial 57 58 treatment of CF-associated infection is presently limited by several factors, including development of bacterial resistance against the antibiotics commonly in use (exacerbated by 59 the common need for regular or prophylactic antibiotic therapy), as well as a lack of novel 60 anti-infectives currently in the pharmaceutical pipeline [9-11]. 61

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The role of vitamin D3 in the regulation of immune and host defence reactions is well 63 described, as is its influence on the release of inflammatory mediators from neutrophils and 64 macrophages [12-15]. In recent years a connection between vitamin D3 and pulmonary 65 diseases such as asthma and chronic obstructive lung disease has been suggested, and a clear 66 link between vitamin D3 deficiency and respiratory tract infections in patients has been 67 postulated [16, 17]. Interestingly, low serum levels of vitamin D3 have been found 68 69 specifically in CF patients, probably as a result of malabsorption [18]. Therefore, it may be hypothesised that the administration of vitamin D3 or its metabolites directly to the lung of 70 71 CF patients could lead to an improved clinical outcome. Unfortunately however, the poor water solubility of these compounds necessitates dissolution in organic solvents such as 72

ethanol, which limits administration *in vivo*. Therefore, to enable pulmonary delivery of vitamin D3 and to study its potential effects on CF-relevant infections, aerosolisable liposomes of the vitamin D3 metabolite calcifediol (25(OH)D) were developed and characterised in the current work. The potential of 25(OH)D liposomes to act as a local delivery system to prevent *P. aeruginosa* infection was then tested *in vitro* in two different cell models.

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80 Materials and Methods

81 Material

Dipalmitoylphosphatidylcholine (DPPC) was obtained as a kind gift from Lipoid GmbH 82 (Ludwigshafen, Germany). Calcifediol (25(OH)D, Ph.Eur/USP) was provided by Dishman 83 Netherlands (Veenendaal, Netherlands). The 1.2 dipalmitoyl-sn-glycero-3-84 phosphoethanolamine-N-(lissamine rhodamine B sulfonyl) ammonium salt (Rh-DPPE) was 85 purchased from Avanti Polar Lipids (Alabaster AL, USA). Distilled de-ionised water having a 86 conductivity of less than 18.2 M Ω /cm at 25°C was used throughout the study. All the other 87 solvents and chemicals used were of at least analytical grade. For cell cultivation, Ham's F12 88 medium containing 15% or DMEM-HamF12 (1:1) with foetal bovine serum (FBS) (all from 89 Life Technologies, Darmstadt, Germany), penicillin and streptomycin (both Life 90 Technologies, Darmstadt, Germany) and Ultroser-G (Pall, Fribourg, Switzerland) were used. 91

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93 Liposome preparation and characterisation

Liposome formulations were prepared based on a modified version of the lipid film hydration method [19]. Briefly, DPPC and 25(OH)D or DPPC alone (total weight 75 mg) were dissolved in 5 ml of ethyl acetate/methanol (4:1 v/v) in a round-bottomed flask. Following dissolution, 0.1 ml of a 0.5 mg/ml solution of Rh-DPPE was added and mixed. The organic

solvent mixture was then evaporated under reduced pressure and with a rotation speed of 145 98 rpm at 70 °C using a rotavapor (Büchi, Essen, Germany). The resulting homogenous thin lipid 99 film was then re-hydrated by the addition of 5 ml of deionised water, followed by further 100 101 rotation at 60 °C for 1 h. The formed liposomal dispersion was sonicated in a sonication bath (Bandelin Sonorex, Berlin, Germany) for 10 min and then extruded (LiposoFast extruder, 102 Avestin, Mannheim, Germany) repeatedly through 200 nm pore size membranes (AMD 103 Manufacturing Inc., Ontario, Canada) to achieve size reduction and uniformity. Liposomes 104 105 were then diluted 1:10 with deionised water and stored at 4 °C under nitrogen until further use. Physical characterisation of diluted liposomal formulations was performed by dynamic 106 107 light scattering (size and size distribution) and electrophoretic mobility (zeta potential) at 25 °C using a Zetasizer Nano (Malvern Instruments Ltd, Worcestershire, United Kingdom). 108

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110 Determination of liposomal DPPC and 25(OH)D content

The amount of 25(OH)D incorporated within liposomes was determined via HPLC, 111 performed on a Dionex HPLC system (Thermo Scientific, Bremen, Germany) composed of a 112 P680 pump, an Elite degassing System, an Asta-medica AG 80 column oven and a UV 113 detector. A LiChrospher[®] RP-18 (5 µm, 125 x 4 mm) column (Merck KGaA, Darmstadt, 114 115 Germany) was employed. A mobile phase of methanol/acetonitrile (30:70 v/v) was used, with an injection volume of 100 ul, a flow rate of 2 ml/min and a temperature of 30 °C. For sample 116 analysis, liposomes were first dissolved in a mixture of 50% ethyl acetate/methanol (4:1) and 117 50% acetonitrile. The 25(OH)D content of dissolved liposome samples was determined using 118 UV detection at a wavelength of 265 nm, and calculated in reference to standard solutions of 119 25(OH)D. The determined amount of 25(OH)D was then used to calculate the encapsulation 120 efficiency (EE) of liposomes, defined as the measured amount of 25(OH)D as percentage of 121 the initially added amount [20]. 122

The amount of DPPC present in liposome formulations was assessed according to the Bartlett 124 assay [21]. Briefly, a calibration curve was constructed from a stock solution of 0.05 mg/ml 125 potassium phosphate (Sigma-Aldrich, St. Louis, Missouri, USA) diluted as required with 126 127 deionised water to produce standards of known concentration. Both liposome samples and standards were dried completely in a sand bath at 180 °C prior to any analysis. A 450 µl 128 volume of 70% perchloric acid (AppliChem, Darmstadt, Germany) was then added to both 129 samples and standards, followed by incubation at 250-260 °C for 30 min. After cooling, 3.5 130 ml of deionised water, 500 µl of 2.5% w/v ammonium molybdate solution and 500 µl of 10% 131 w/v ascorbic acid solution (both from VWR BDH Prolabo, Darmstadt, Germany) were added 132 133 to vials of sample and standards, to initiate the colorimetric reaction. The final mixtures were vortexed and incubated in a water bath at 100 °C for 7 min. The reaction was then stopped by 134 placing the vials in an ice bath. Subsequently, the UV absorbance of standard solutions and 135 136 samples was measured at 820 nm (Lambda 35 UV/VIS Spectrophotometer, Perkin Elmer, Waltham, USA). 137

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With the determined amounts of DPPC and 25(OH)D a loading efficiency (LE) was calculated, expressed as the quantified drug/lipid molar concentration ratio as percentage of the initial drug/lipid molar concentration ratio [22].

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143 Aerosolisation of liposomes

Prior to deposition studies, the effect of the nebulisation process on the colloidal stability of liposomes was assessed. Liposomes were dispersed in water and nebulised using an electronic vibrating membrane inhaler (eFlow, PARI Medical Holding GmbH Starnberg, Germany). For stability, nebulised liposome samples were collected and the diluted liposomal aerosol was measured for size and zeta potential, as mentioned in the previous section.

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For investigating the aerodynamic properties of nebulised liposomes, a next-generation 150 151 impactor (NGI, Copley Scientific, Nottingham, UK) was used. Deposition experiments were conducted according to the procedure specified in the European Pharmacopoeia [23] and as 152 153 detailed further in the supplementary material. The amount of deposited liposomes in each NGI stage was determined by measuring the fluorescence of Rh-DPPE using a plate reader 154 (Genios Pro Tecan, Männedorf, Switzerland, excitation wavelength = 560 nm, emission 155 wavelength = 662 nm). To predict pulmonary deposition *in vivo*, parameters of Mass Median 156 157 Aerodynamic Diameter (MMAD), Geometric Standard Deviation (GSD) and Fine Particle Fraction (FPF) were calculated. For determination of the MMAD and GSD, probit analysis 158 [24] was employed. FPF was defined as the mass of aerosolised material with an aerodynamic 159 diameter of less than 5 μ m. 160

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162 Bacteria cultivation

To determine the influence of 25(OH)D on the immune response to infection, heat inactivated 163 or viable P. aeruginosa PAO1 cultured as described previously [25] were used. The viable 164 bacterial suspension was diluted 1:10 in phosphate-buffered saline (PBS, without Ca²⁺ and 165 Mg²⁺, pH 7.4, Life Technologies, Darmstadt, Germany) prior to application. For heat 166 inactivation the undiluted bacterial suspension was incubated for 10 min at 95 °C, and 167 subsequently stored in aliquots corresponding to $3x10^7$ colony-forming units (CFU) /ml at -20 168 °C. To determine bacterial concentrations prior to use, serial dilutions were plated on LB-agar 169 and cultured overnight. 170

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172 Cell culture

173 Cells of the murine epithelial cell line LA-4 were cultured at 37 °C with 5% CO₂, and split at
174 regular intervals. For measuring inflammatory responses, cells were seeded in a 12-well plate
175 (Greiner Bio-One GmbH, Frickenhausen, Germany; 0.26x10⁴ cells/cm²) and cultured in 7

Ham's F12 medium containing 15% FBS and 1% penicilin-streptomycin. Cells were treatedunder submerged conditions.

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The human bronchial epithelial cell line 16-HBE was cultured in DMEM-HamF12 (1:1) with 10% FBS, penicillin (100 U/ml), and streptomycin (0.1 mg/ml) at 37 °C with 5% CO₂, and split at regular intervals. For infection experiments, cells were seeded under standard conditions on 12-well Transwell[®] plates (Corning Inc., Acton, MA, USA). After reaching confluency the medium was removed from the upper compartment, in order to achieve an air liquid interface setup for subsequent stimulation [26], and the medium of the basolateral compartment was changed to DMEM-HamF12 (1:1) containing only 2% Ultroser-G.

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187 **25(OH)D** pre-treatment and bacterial challenge

188 In all cases, cells were first pre-treated with 25(OH)D either within liposomes or dissolved in 0.5% ethanol (40 ng of 25(OH)D/well), or appropriate controls (empty liposomes at 376.7 ng 189 190 of DPPC/well, or 0.5% ethanol alone) for 24 h. For all experiments, the dose of empty DPPC 191 liposomes administered was standardised on the amount of lipid calculated to be contained within a dose of 25(OH)D liposomes. Following pre-treatment, murine LA-4 cells were 192 stimulated with heat inactivated *P. aeruginosa*, while 16-HBE cells were infected with 1×10^3 193 CFU/well live bacteria, diluted in PBS to a final volume of 100 µl. In the case of LA-4 cells, 194 following a 6 h incubation period, apical release of the murine IL-8 homologue KC was 195 determined by enzyme-linked immunosorbent assay (ELISA) as described below. Survival of 196 bacteria in the apical compartment of 16-HBE cultures was quantified by plating on LG-agar 197 and CFU counting after overnight incubation. The final CFU in pre-treated samples was 198 199 expressed as a percentage of the CFU of infected samples without pre-treatment.

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202 Measurement of cytokines

The concentration of KC in cell culture supernatants was determined by ELISA according to the kit manufacturer's instructions (R&D Systems, Minneapolis, MN, USA). A TECAN Ultra 384 ELISA reader together with Magellan software (Mainz, Germany) was employed for quantification.

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208 Statistical analysis

Data are expressed as mean ± standard error of the mean (SEM). The data was analysed using
SigmaPlot Version 11 (Systat Software Inc., San Jose, CA, USA). Comparisons between
groups were performed using Student's t test (two-sided), or ANOVA with post-hoc
Bonferroni adjustment for experiments with more than two subgroups. Results were
considered statistically significant at p values <0.05.

214

215 **Results**

216 Liposome preparation and characterisation

Liposomal formulations consisting of DPPC and 25(OH)D (PD), or DPPC alone as a control 217 (P) were prepared, and characterised firstly in terms of colloidal properties. Both formulations 218 had a mean initial size below 200 nm, a polydispersity index (PDI) below 0.1 and a negative 219 zeta potential around -8 mV. With respect to chemical properties, an encapsulation efficiency 220 and loading capacity of approximately 23% and 46% respectively was found for the PD 221 preparation (Table 1). PD liposomes were also seen to exhibit constant colloidal properties 222 223 upon storage for a period of at least 25 days (Figure S1a, S1b), and to retain the entire incorporated amount of 25(OH)D for a period of at least 3 days post-preparation (Figure S1c). 224 225 Liposomal 25(OH)D was further determined to be active, confirming that the process of liposome preparation itself (involving for example the use of organic solvents and elevated 226

temperatures) had no adverse effect on 25(OH)D stability (Figure S2). The preparation
process and employed conditions were moreover proven to be well translatable, as
demonstrated by the ability to form liposomes utilising vitamin D3 itself as cargo (Table S1,
Figure S3).

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232 Aerosol deposition studies

The PD formulation was physically stable upon nebulisation, with no appreciable difference in liposome size and zeta potential noted before and after the nebulisation procedure (Figure 1). The deposition profile of PD liposomes is shown in Figure 2. A high percentage of liposomes was recovered in the initial stages of the NGI, in particular between stages 1 and 4, showing that the aerodynamic diameter of the majority of PD liposomes is higher than 3.18 µm. An MMAD of approximately 5.9 µm, a GSD of approximately 2.1 and an FPF of 41% were calculated from obtained NGI data.

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241 Effect on *P. aeruginosa* infection

The efficacy of the 25(OH)D-loaded liposome formulation PD against *P. aeruginosa* infection was first investigated in cells of the murine epithelial cell line LA-4. Surprisingly, treatment of cells with 25(OH)D liposomes or even with 25(OH)D in ethanolic solution prior to bacterial stimulation was not seen to lead to a significant reduction in KC release, relative to cells which were treated with PBS alone (Figure 3). This lack of significant 25(OH)D effect was also noted in a pilot study in an *in vivo* mouse model (Figure S4).

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In contrast, PD liposomes were seen to impact on *P. aeruginosa* infection in human 16-HBE cells. Loaded liposomes were compared with 25(OH)D dissolved in ethanol, and while both formulations demonstrated an anti-microbial effect, a significantly lower bacterial survival was found following treatment with the PD liposomes compared to 25(OH)D dissolved inethanol (Figure 4).

254 **Discussion**

In order to develop a novel anti-inflammatory and anti-infective approach for treatment of CF lung disease, and to overcome difficulties in administration resulting from the poor aqueous solubility of 25(OH)D, a stable liposomal formulation was successfully designed and prepared. While an anti-inflammatory and anti-infective activity of this formulation was not notable in murine models, studies in human-derived cell cultures showed a protective effect of liposomal 25(OH)D against *P. aeruginosa* infection.

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262 Although the employed liposomal formulation was also seen to be compatible with vitamin D3 itself (see supplementary material), the vitamin D3 metabolite 25(OH)D was rather 263 selected as the specific liposomal cargo in the current work, due to the absence of the vitamin 264 D3-activating enzyme 25-hydroxylase in pulmonary epithelial and immune cells [17]. In 265 addition to increasing its effective solubility, incorporation of 25(OH)D into liposomes was 266 hypothesised to counteract the well-known instability of this compound. However, the effect 267 of liposome preparation conditions on the stability and continued activity of 25(OH)D was 268 unknown. The compound was therefore first dissolved in ethanol and subjected to different 269 stresses (heat, organic solvent and air exposure) as encountered in the liposome preparation 270 procedure. Encouragingly, only air exposure combined with lipopolysaccharide (LPS) 271 administration was found to decrease 25(OH)D activity (supplementary material Figure S2). 272 273 Therefore, in order to minimize air exposure and maintain 25(OH)D stability, liposomes were prepared under nitrogen. 274

Due to its lipophilicity, 25(OH)D is expected to be more concentrated within vesicular lipid 276 277 bilayers rather than in the bilayer spaces or aqueous core of liposomes [27]. The steroid-like structure of 25(OH)D in combination with this intra-bilayer location is also known to have the 278 279 advantage of condensing and stabilising liposomal bilayers without the need for other membrane-stabilising components, such as cholesterol [27]. Accordingly, incorporation of 280 25(OH)D into liposomes in the current work appeared to reduce liposome size and PDI (Table 281 282 1). The gained stability data, which showed a high and constant level of 25(OH)D entrapment and consistent colloidal characteristics over time (Figure S1), further confirmed the stabilising 283 effect of 25(OH)D on liposomes. 284

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As a further step in the formulation characterisation process, information about the lung 286 deposition of PD liposomes was gained by nebulisation of liposomes into an NGI. A lack of 287 288 appreciable difference in colloidal parameters pre- and post-nebulisation (Figure 1) indicated that the vibration of the nebuliser membrane did not destroy or considerably alter the 289 290 liposomal structure, confirming the feasibility of deposition studies themselves. NGI 291 experiments were considered as essential to investigate the aerodynamic deposition of the prepared liposomes, and to assess their potential for local airway administration (Figure 2). 292 293 The calculated MMAD is an encouraging result, as it has been shown that particles with a size 294 of up to 5 µm can effectively reach the bronchiolar region of the respiratory tract, where infection and inflammatory responses are mostly localised in CF patients [28]. FPF was 295 calculated as a measure of the portion of the inhaled mass of liposomes capable of reaching 296 the lower airways (alveolar region). According to NGI experiments, only 41% of the 297 liposomal dispersion is expected to reach the alveolar region, while the remaining deposited 298 299 fraction will be localised in the bronchiolar region where the action of 25(OH)D is specifically required. 300

Somewhat surprisingly, neither application of PD liposomes nor of an ethanolic solution of 302 25(OH)D to infected, mouse-derived LA-4 cells was noted to have an anti-inflammatory 303 effect (Figure 3). This observation was also supported by an in vivo pilot study in mice 304 (Figure S4). The lack of effect of 25(OH)D either as ethanolic solution or in liposomes in 305 such mouse-based models points to significant species differences, with the consequence that 306 suitable for investigation of 25(OH)D-mediated 307 murine models mav not be immunomodulation in the context of a potential clinical application to patients. This view is 308 309 also supported by recent reports on inter-species difference in the immunomodulatory effects of vitamin D3 and its metabolites between mice and primates [29-31]. The predictive value of 310 murine models, either *in vitro* or *in vivo*, for the translation of this therapeutic approach into 311 the clinic, may therefore be limited. 312

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However, when applied to *Pseudomonas*-infected human 16-HBE cells, PD liposomes showed a significantly higher bacterial killing compared with both the empty liposome formulation (P), and 25(OH)D dissolved in ethanol (Figure 4). In this setting therefore, incorporation of 25(OH)D into liposomes appears to be more effective than 25(OH)D in solution.

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320 Conclusion

A liposomal formulation of 25(OH)D with favourable and robust physico-chemical properties for local delivery to the lung could be successfully prepared. A beneficial effect of 25(OH)D with respect to *P. aeruginosa* infection was clearly observed in a human cell line. In this case, a significant improvement in antibacterial action was observed as a result of incorporation of 25(OH)D into liposomes as compared with administration in ethanolic solution. 25(OH)Dloaded liposomes therefore appear as a promising anti-infective therapy for CF-related lung infection. As all materials required for preparing the formulations used in this study may be
regarded as safe for pulmonary administration in humans, this concept should soon be further
evaluated in clinical studies.

330 **Declaration of interest**

331 The authors declare that they have no competing interests.

332

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410 **Figures and Tables**

411 Table 1. Physico-chemical characteristics of 25(OH)D:DPPC liposomes (PD) and DPPC liposomes 412 (P). Size, polydispersity index (PDI) and surface charge (zeta potential) of PD and P are shown. The 413 encapsulation efficiency (EE) and the loading capacity (LC) of PD are also given. All data represent 414 mean \pm SEM (n=3), *** = p<0.001.

	Molar ratio	Size (nm)	PDI	Zeta potential	EE (%)	LC (%)
	25(OH)D:DPPC			(mV)		
PD	1.6:2	151.2±3.3	0.067±0.005	-7.6±1.1(***)	23.4±7.9	46.3±4.6
Р	0:1	180.3 ±1.7	0.121±0.006	-25.6±0.1		



Figure 1. Physical stability of 25(OH)D-loaded liposomes (PD) subjected to nebulisation. Physical characteristics of PD before and after the nebulisation process are shown. (a) size and polydispersity index (PDI); (b) zeta potential. Data represent mean \pm SEM (n=3).





Figure 2. NGI aerosol stage deposition profiles of 25(OH)D-loaded liposomes (PD). Drug deposition from the induction port to stage 8 (micro-orifice collector, MOC) of the NGI specifically is shown. Data represent mean \pm SEM (n = 3).



Figure 3. Release of KC from mouse-derived LA-4 cells. Cells were treated with 25(OH)Ddissolved in ethanol (25(OH)D), 25(OH)D-loaded liposomes (PD), empty liposomes (P), ethanol, or PBS for 24 h. Cells were then stimulated with heat inactivated *P. aeruginosa* PAO1 for 6 h. Fold increase in KC release was calculated by diving the KC levels after bacterial stimulation by the respective baseline level before bacterial stimulation. Data represent mean \pm SEM (n=6).



Figure 4. Bacterial survival in response to treatment of human-derived 16-HBE cells. Cells 437 438 were pre-incubated with 25(OH)D either dissolved in 0.5% ethanol (25(OH)D) or within 439 liposomes (PD), or with drug free liposomes (P) or ethanol alone (Ethanol) as controls. Cells were then stimulated with P. aeruginosa. Percentage of bacterial survival was calculated as 440 the number of living bacteria following treatment relative to the amount of living bacteria on 441 cells without treatment. Circles represent individual survival measurements, while lines 442 represent the mean within a treatment group. Data represent mean \pm SEM, n = 5. * = p<0.05, 443 *** = p<0.001. 444